

***Progetto S4 INGV***

***Task 3***

# Outline

- Surface wave methods
- Comparison SWM-borehole methods
- Consequences of non-uniqueness
- Blind test EGS 2006
- Final remarks and floor discussion

## Surface wave methods

### Advantages

- Works also where soft and stiff layers alternate
- Reduced testing time on site
- Average properties (dynamic behaviour of the whole soil deposit)
- Resolution shallow layers

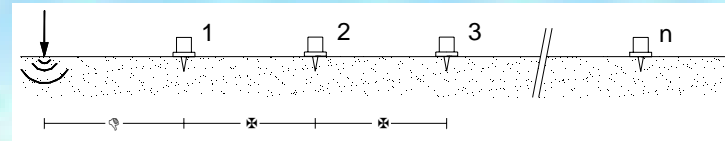
### Disadvantages

- Inverse problem
- 1D Model with plane and parallel layers
- Resolution decreases with depth
- Uncertainty in bedrock localization

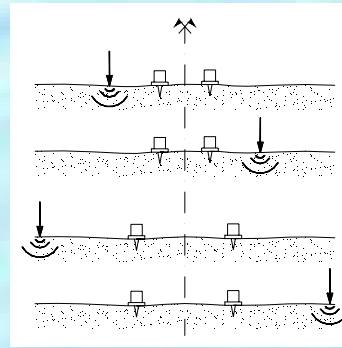
# SWM techniques

Active methods

Multistation  
(f-k,  $\tau$ - $\rho$ , ....)

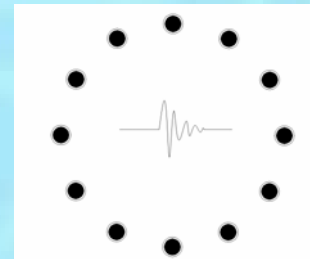


Two-station (SASW)

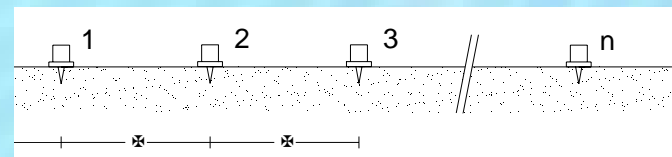


Passive methods

Spatial Array  
SPAC, FK (FBDF, Capon, ...), ...



Linear array (ReMi)

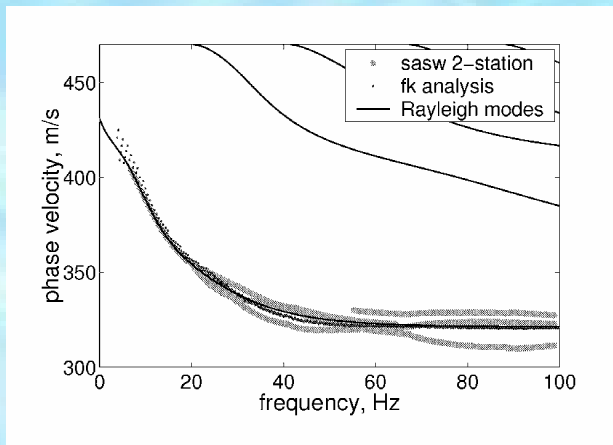
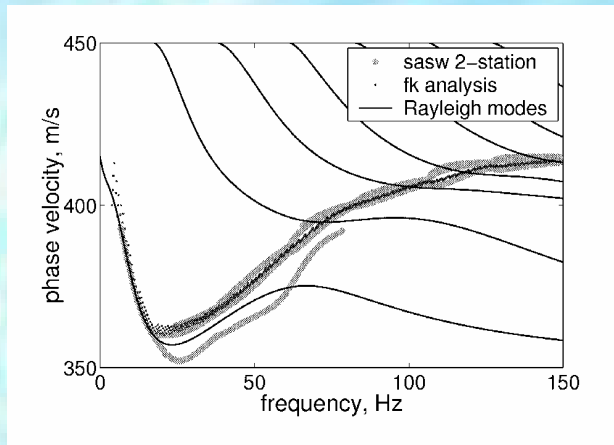
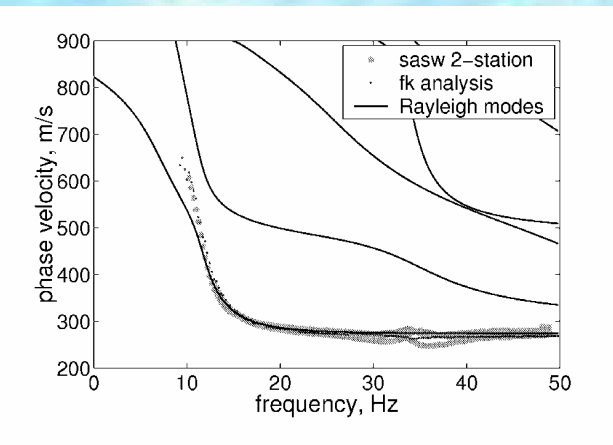


?

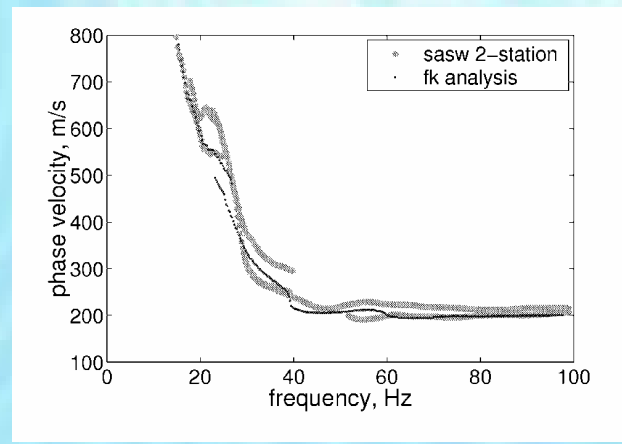
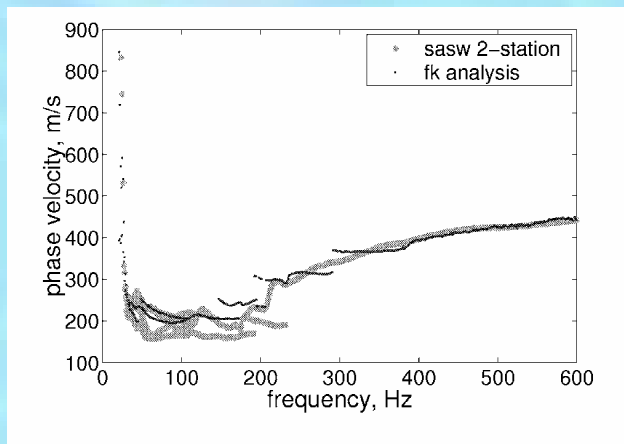
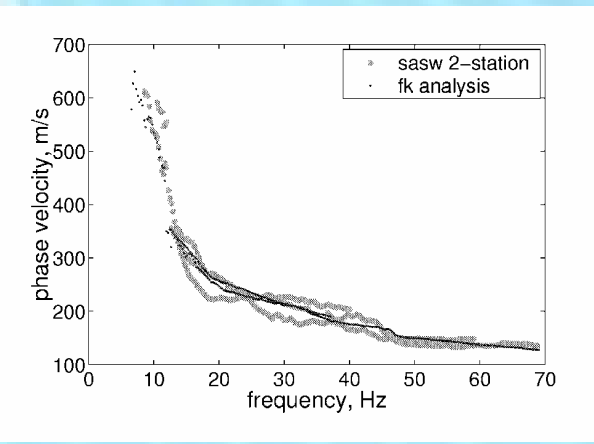
# Multistation (fk) vs 2-station SASW

(Foti, 2002)

## Synthetic datasets

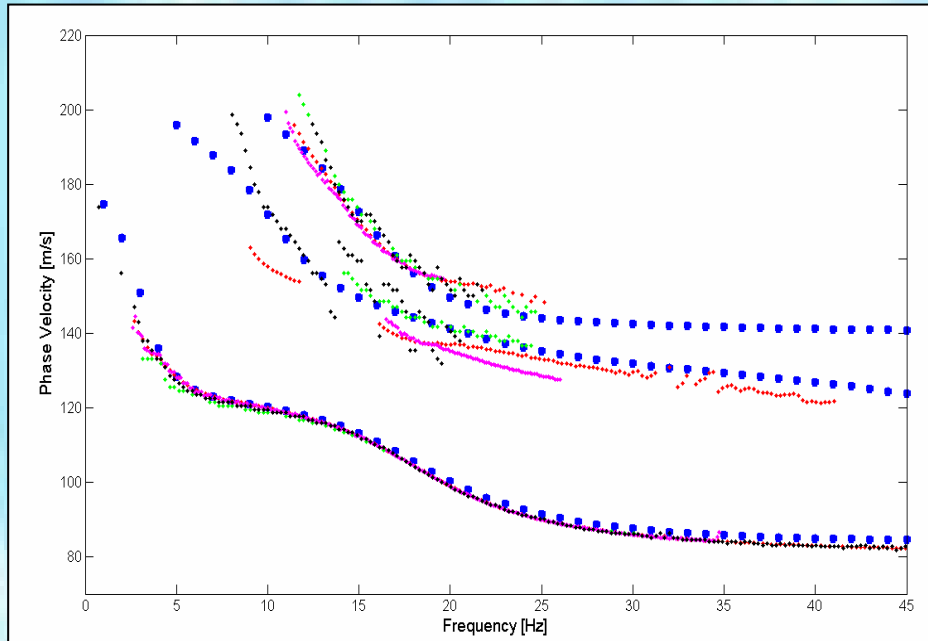


## Real experimental data



# Active test: *fk* vs. *fp*

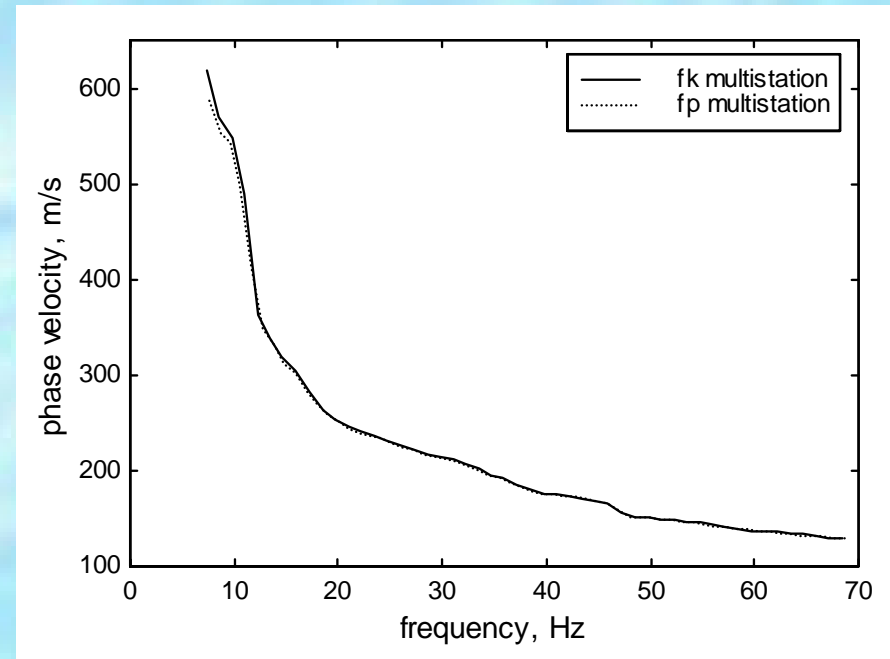
## Synthetic data



(Boiero & Socco, 2007)

- Theoretical Modes (Haskell and Thomson)
- FK
- FK Music
- $\omega$ -p
- Wavefield - Transformation (Park)

## Saluggia test site

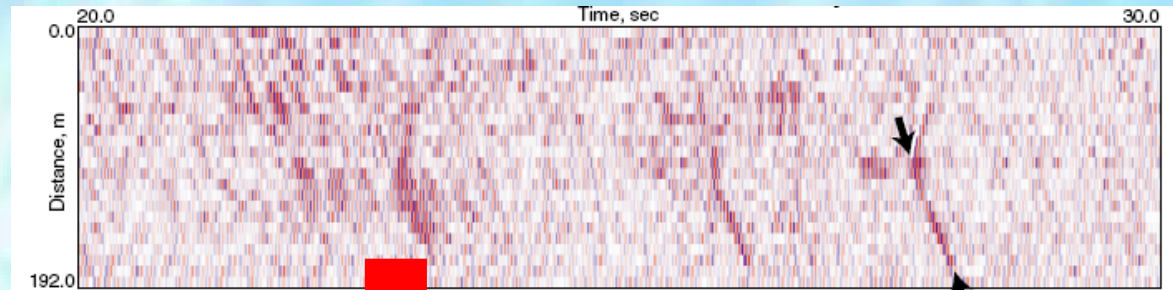
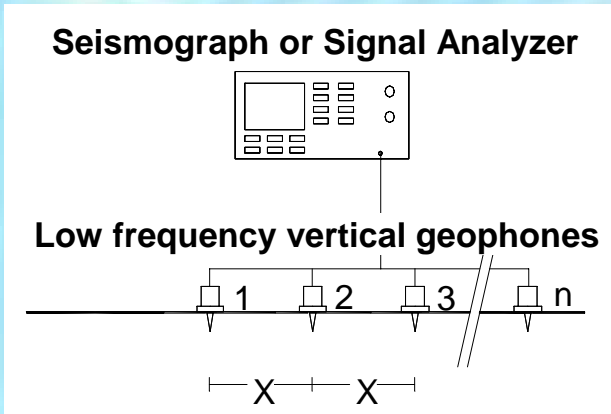


(Foti, 2000)

# REMI (Refraction Microtremors)

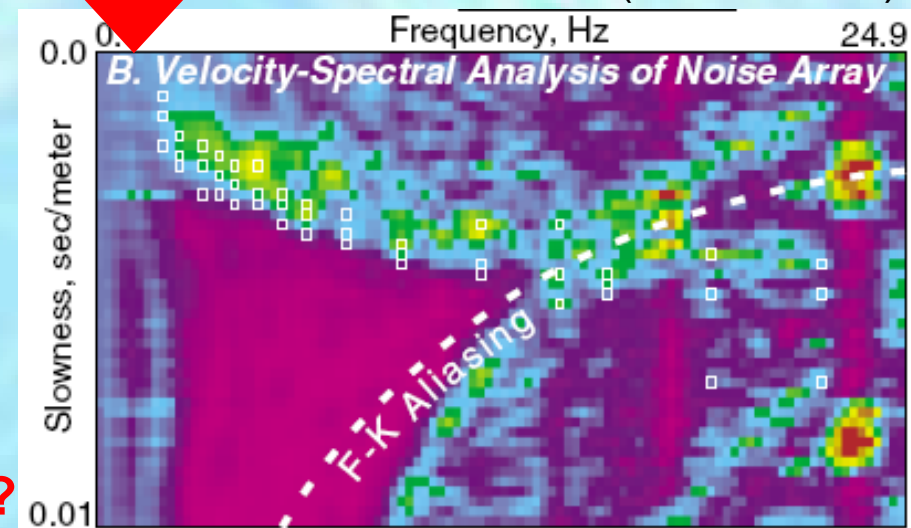
= Passive Surface Wave Tests with linear arrays

(Louie, 2001)



$\tau$ -p transform

(Louie, 2001)

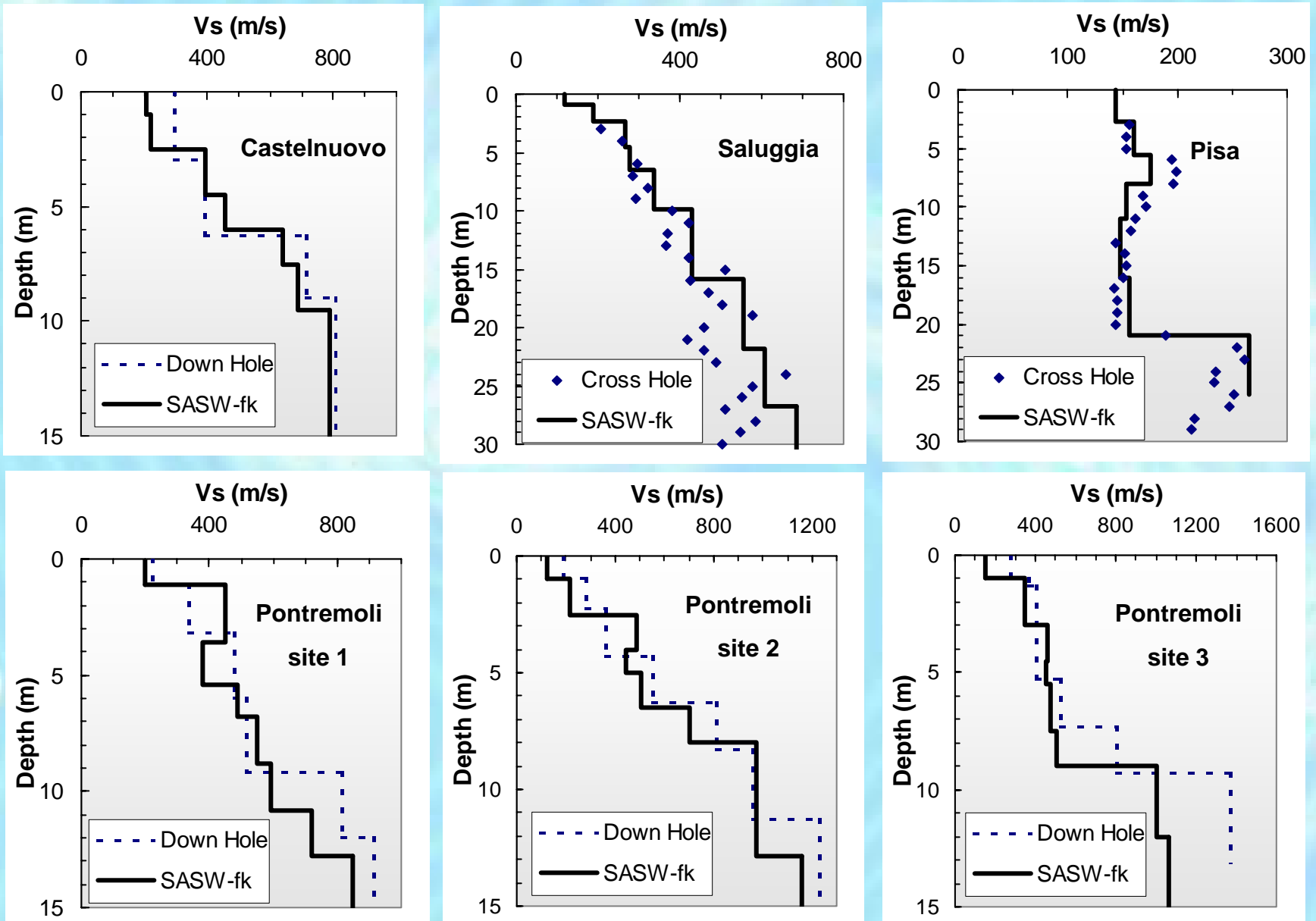


**Note: It is assumed a uniform spatial distribution of sources all around the site**

**→ Localised sources not in line with the array can cause overestimation of VS profile**

**Same configuration of active test:  
It is advisable to use active+passive?**

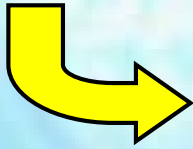
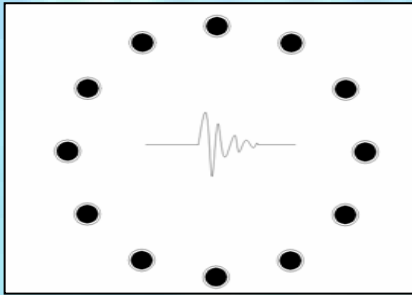
# Comparison with Borehole Seismic Methods



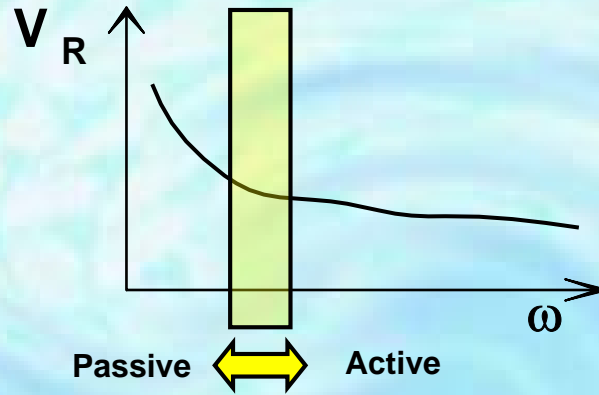


# A+P - SW Tests

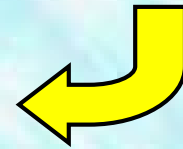
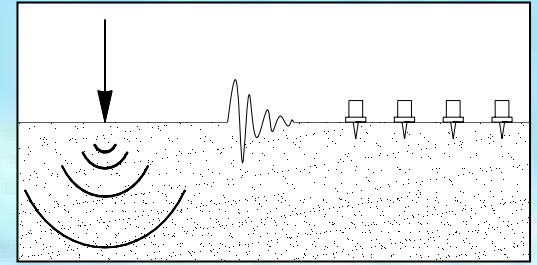
Passive



Processing

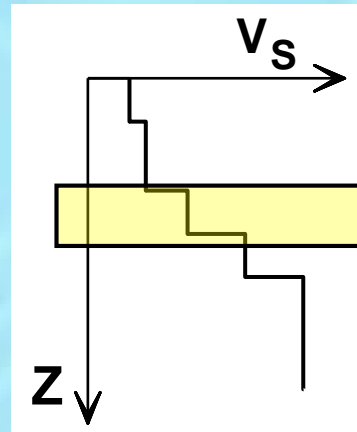


Active



Inversion

Active  
↕  
Passive



# Passive - SW Tests

## Frequency Domain Beamformer

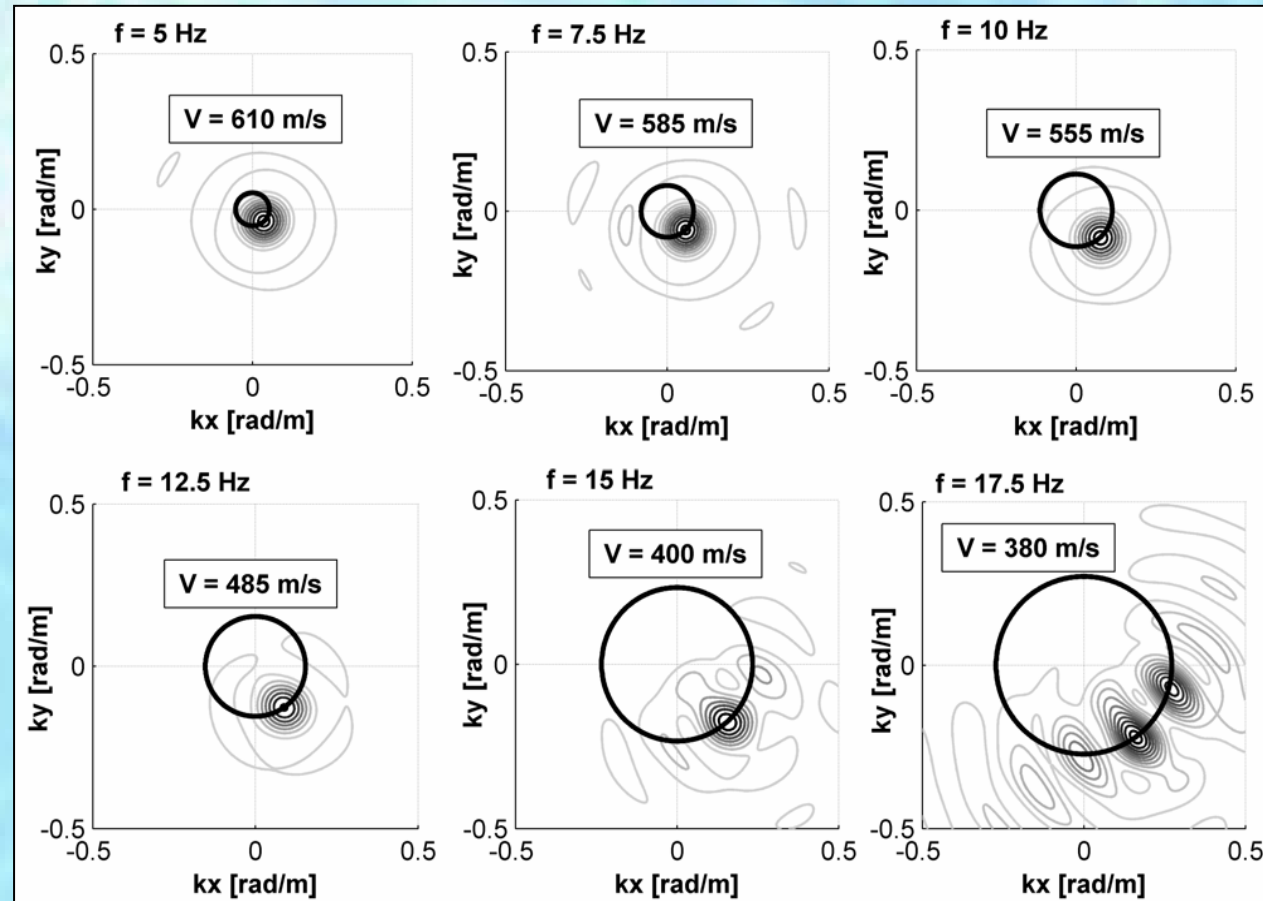
### Site E

- Peak determination is repeated for each frequency:

$$f = 5 \text{ Hz}$$

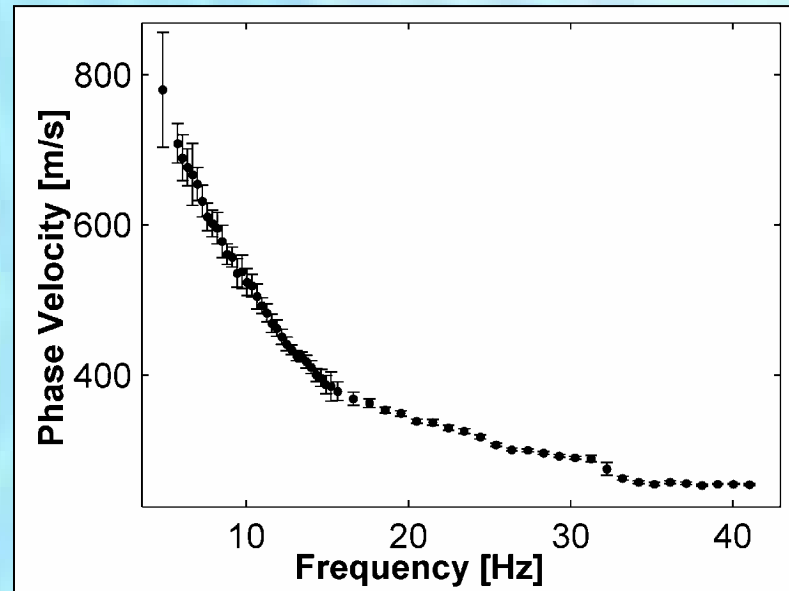
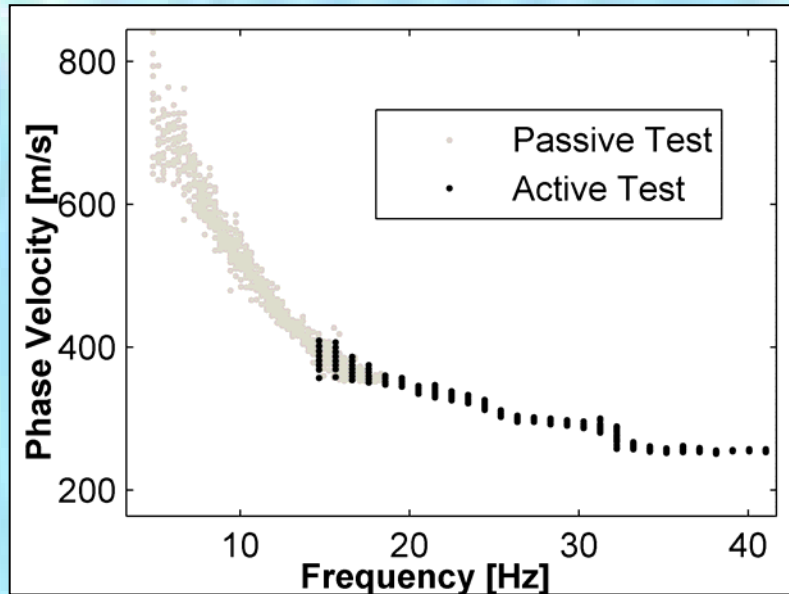
$$|\vec{k}| = 0.0515 \text{ rad/m}$$

$$V_R = \frac{\omega}{|\vec{k}|} = \frac{2\pi(24.5 \text{ Hz})}{0.0515 \text{ rad/m}} = 610 \text{ m/sec}$$

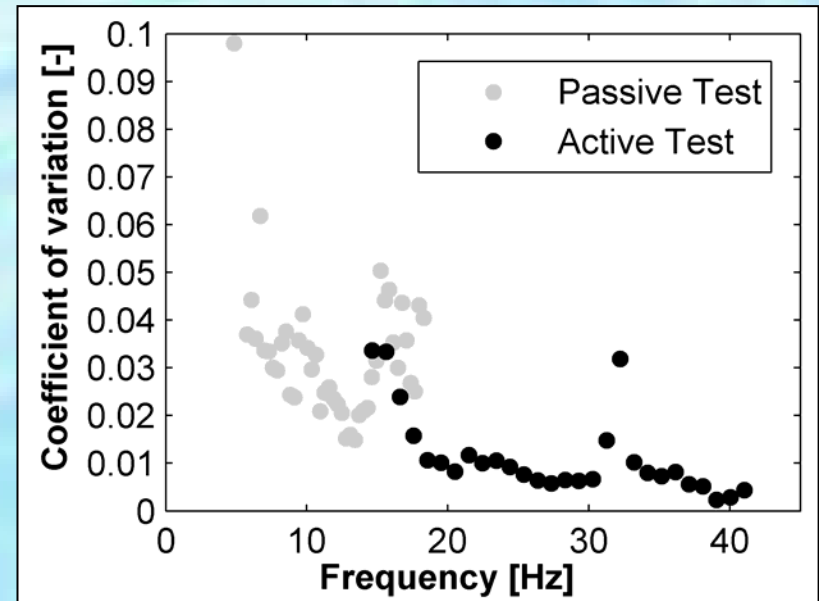


(Foti et al., 2007)

# SW Tests – Data Uncertainty



## La Salle (Val d'Aosta): Site E



Foti S., Comina C., Boiero D. (2007)  
“Reliability of combined active and  
passive surface wave methods”,  
RIG, Vol. 41 (2), 39-47

# SW Tests – MC Inversion

## Global search inversion method

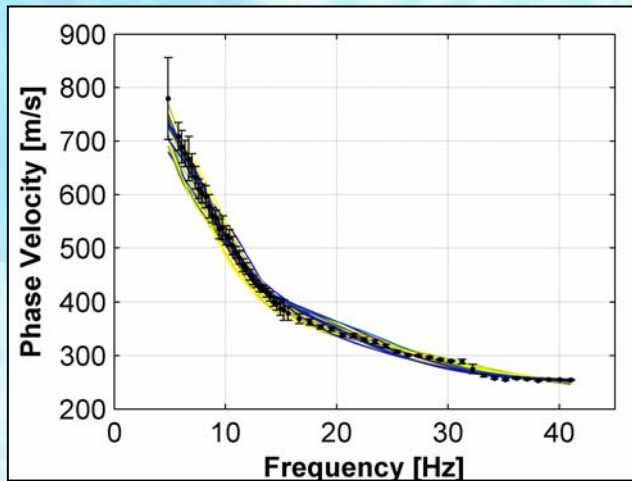
- Randomly generated profiles ( $V_S$  vs depth).
- Use of the scaling properties of Rayleigh wave dispersion to optimize the exploration of Model Parameter Space.
- A statistical test is used to select equivalent profiles accounting for data uncertainties.

# SW Tests - Uncertainty

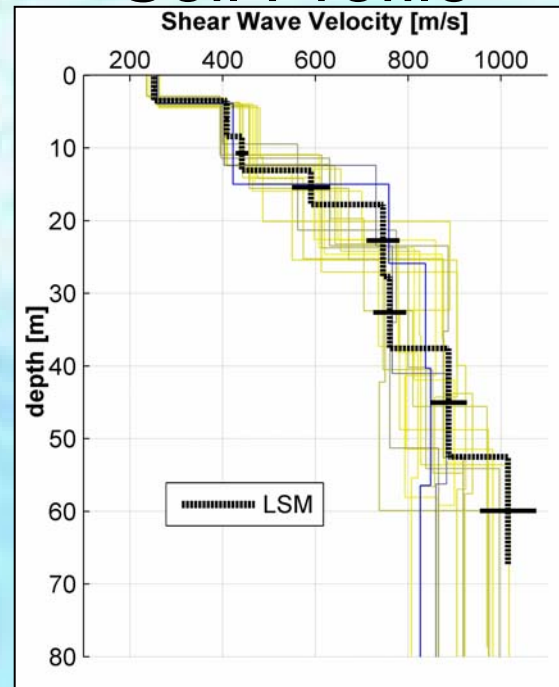
Using Montecarlo inversion it is possible to study the uncertainty (equivalence) and its consequences

## Active + Passive surface waves data

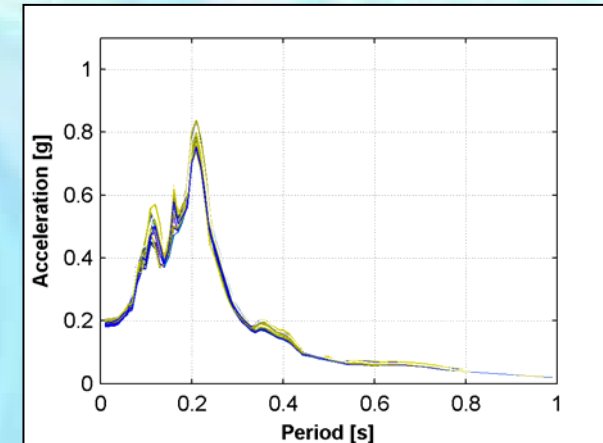
Data



Soil Profile



Local Site Response

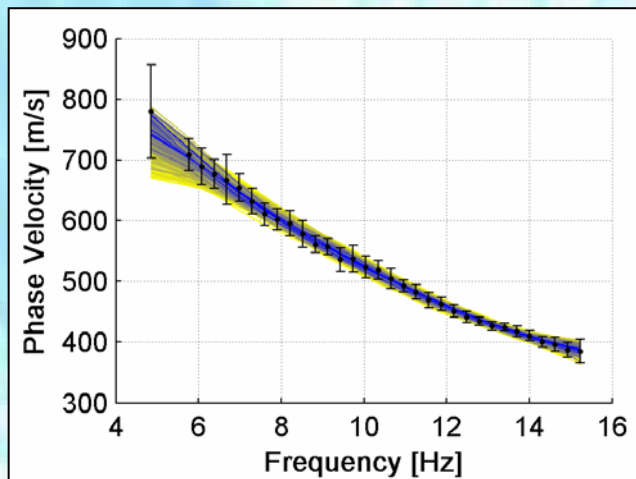


# SW Tests - Uncertainty

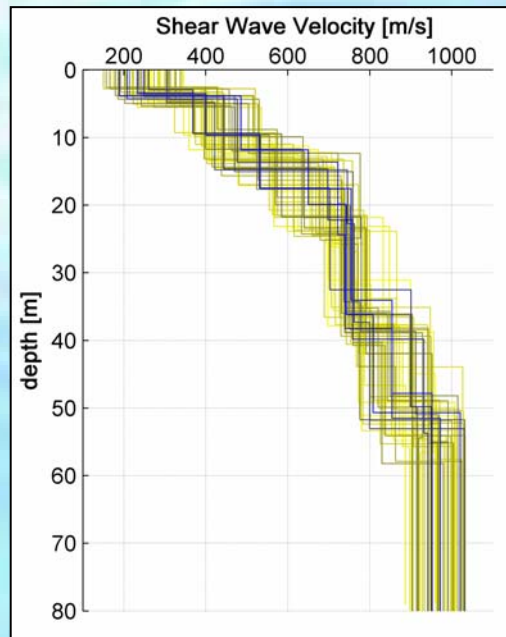
Using Montecarlo inversion it is possible to study the uncertainty (equivalence) and its consequences

## Passive surface waves data

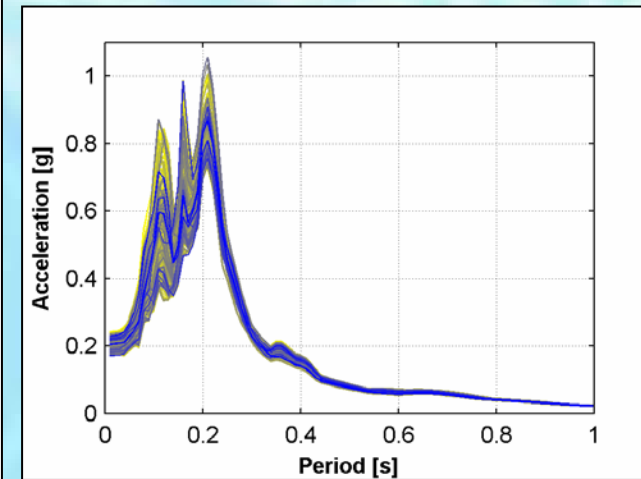
Data



Soil Profile



Local Site Response

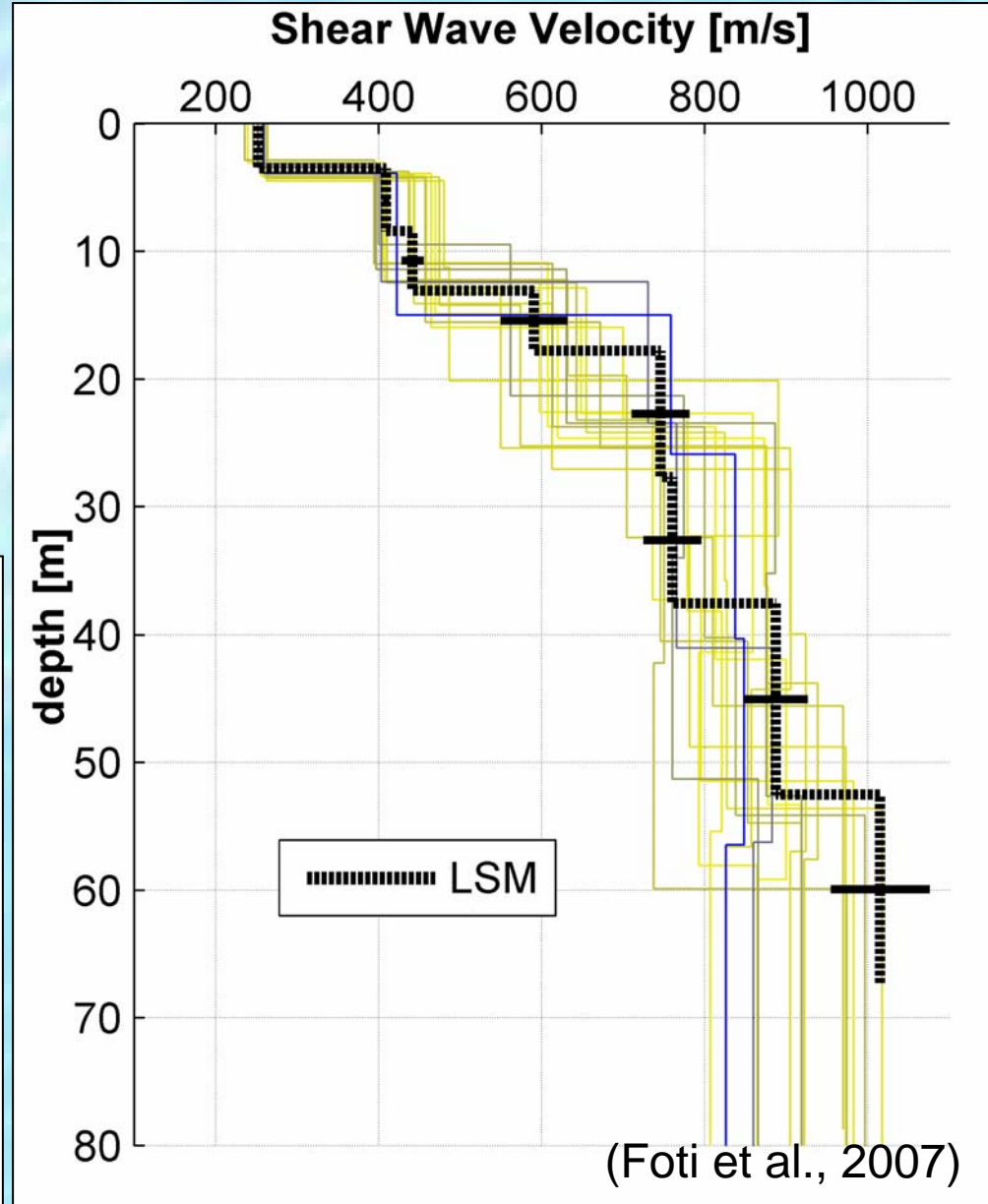
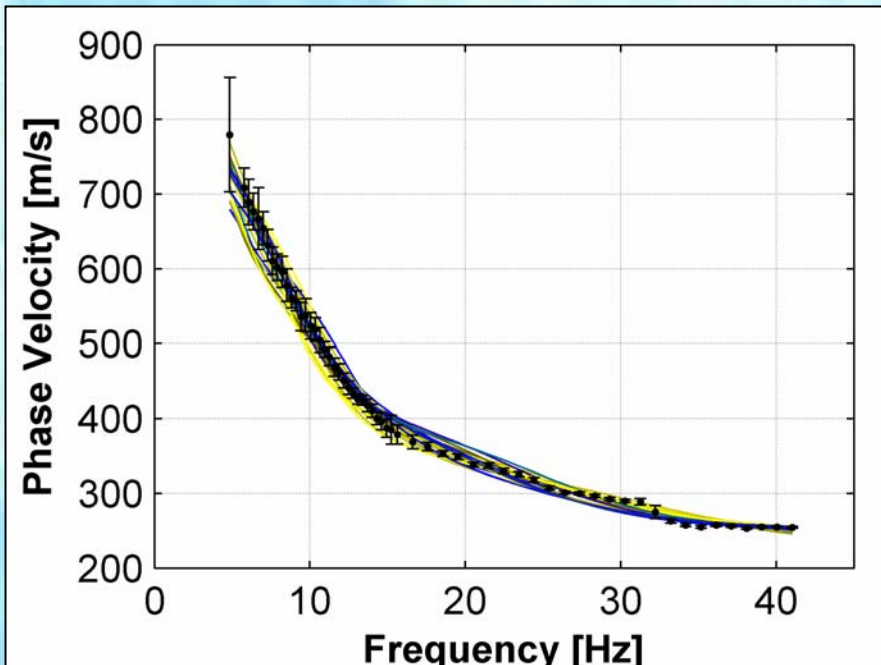




# SW Tests - Uncertainty

## Non-uniqueness of the solution

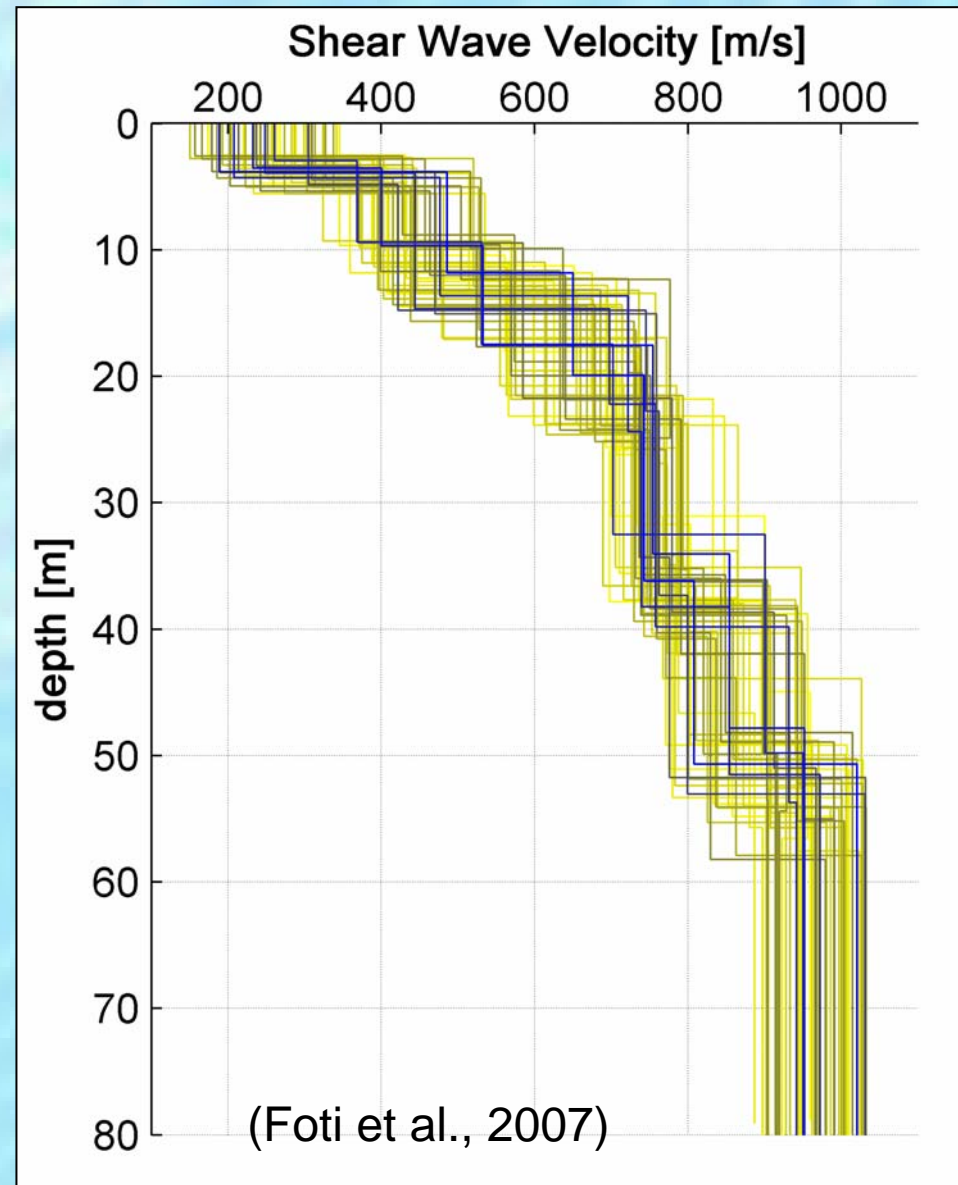
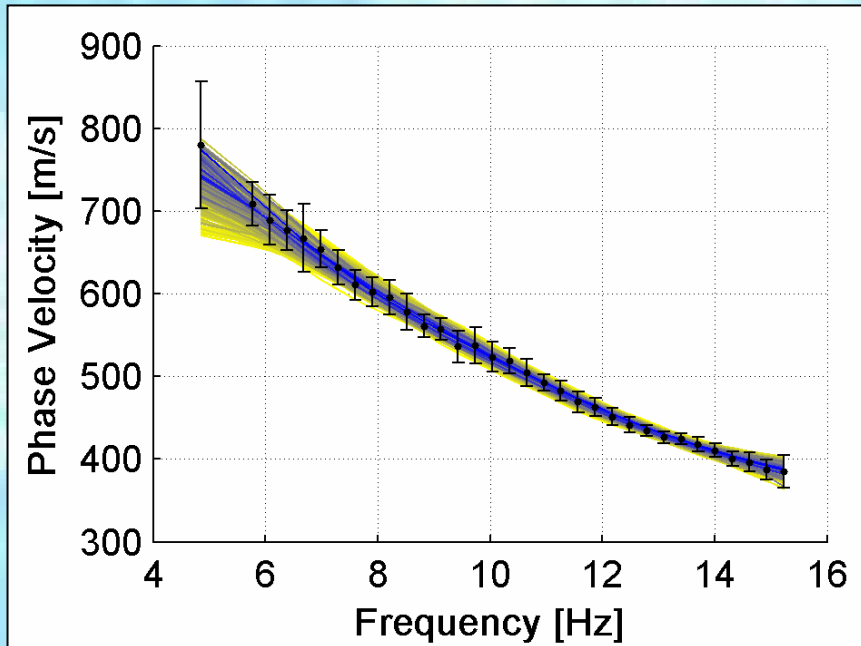
Equivalent Profiles  
from Monte Carlo  
Inversion A + P data



# SW Tests - Uncertainty

## Non-uniqueness of the solution

Equivalent Profiles  
from the  
only passive data

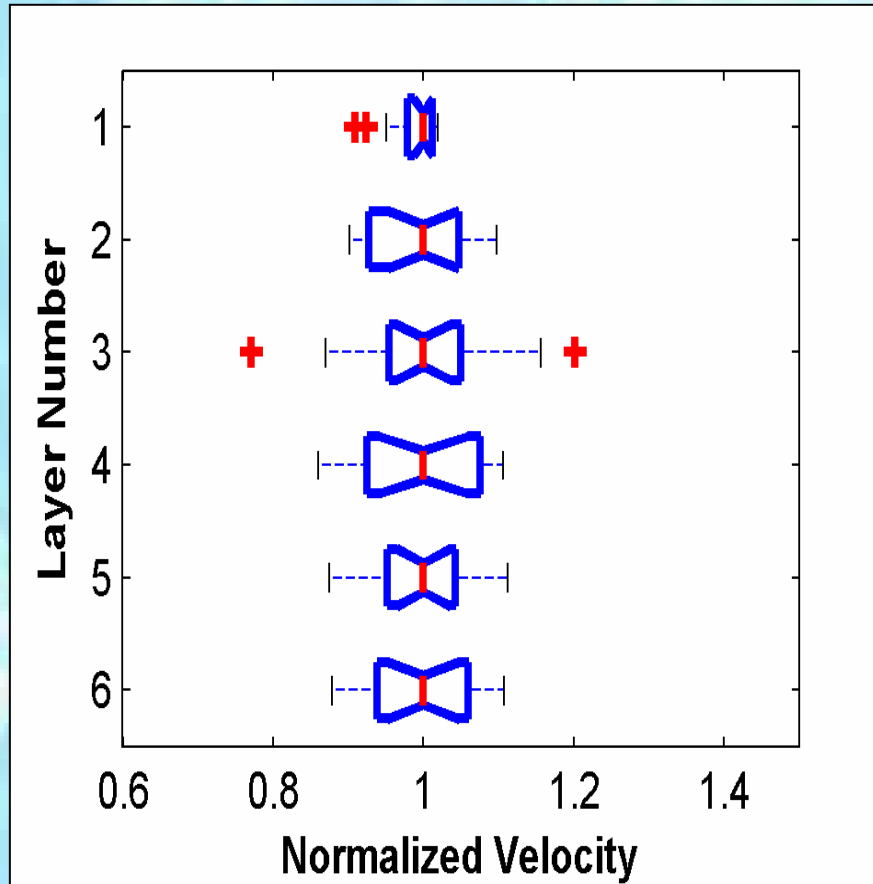




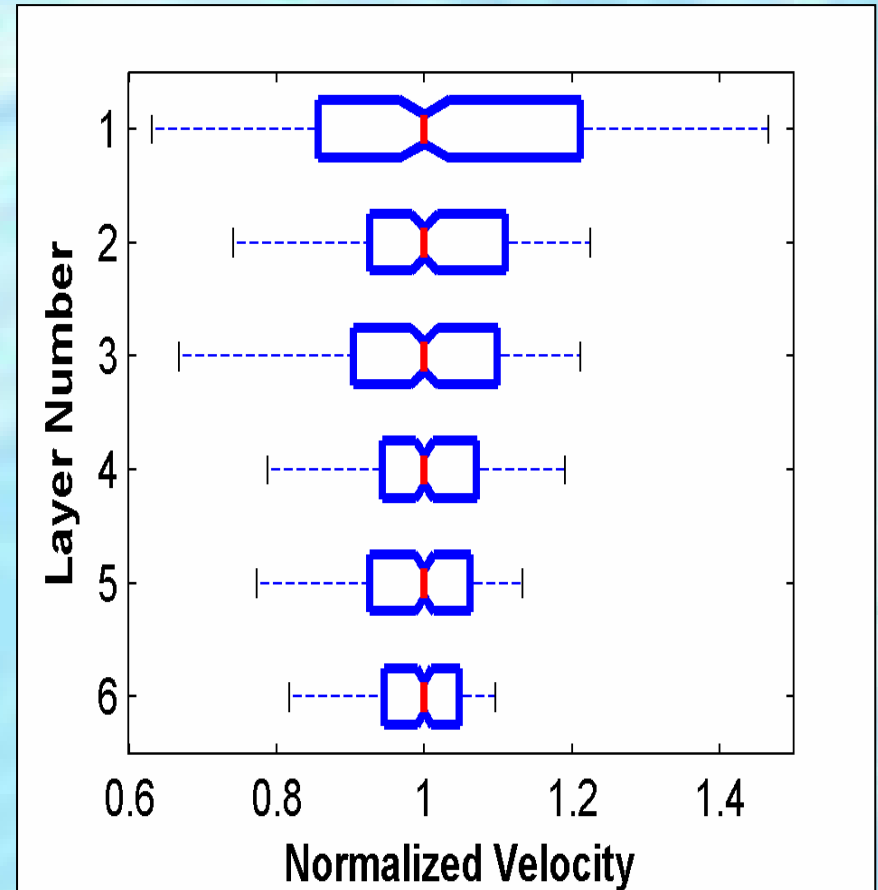
# SW Tests - Uncertainty

## Uncertainty on the layer velocity

A + P data



Passive data

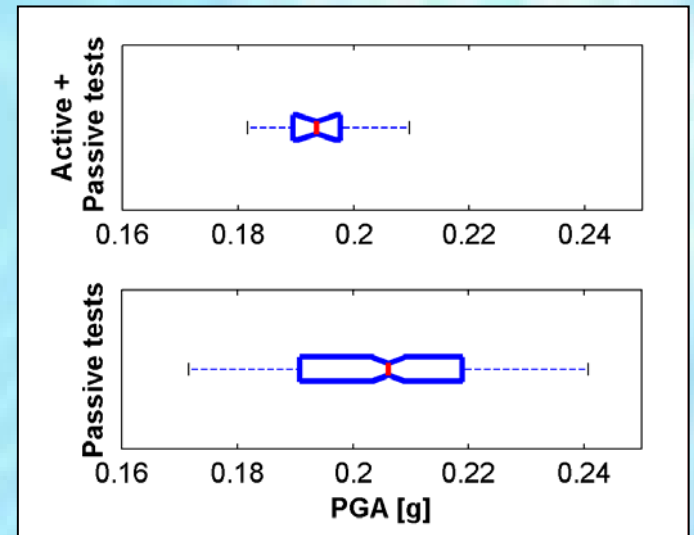
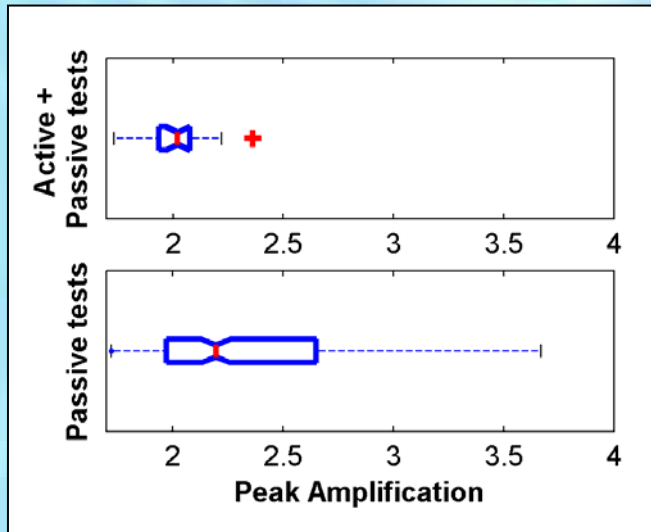
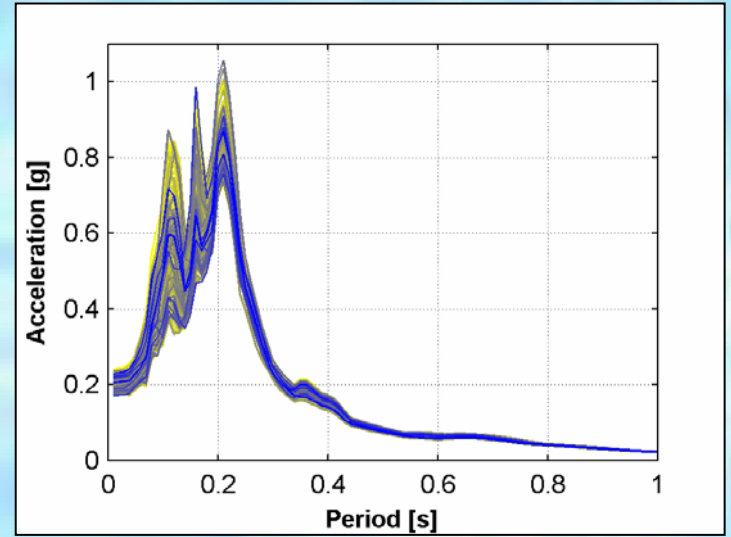
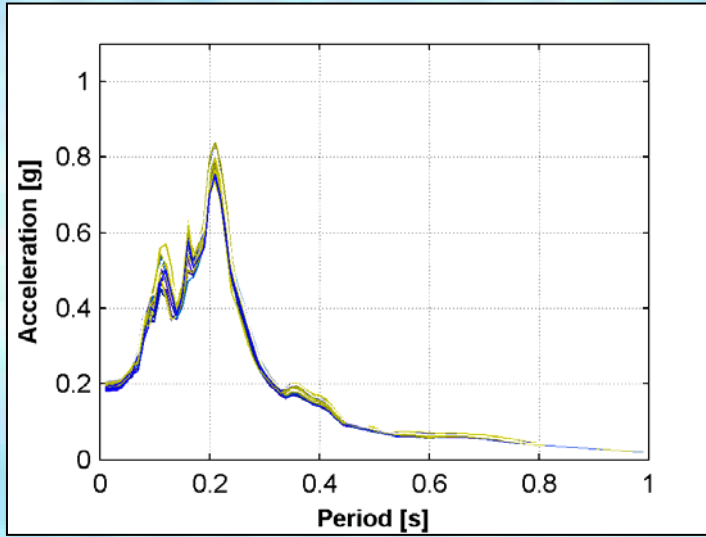


# LSR - Uncertainty

Site E

A + P data

Passive data

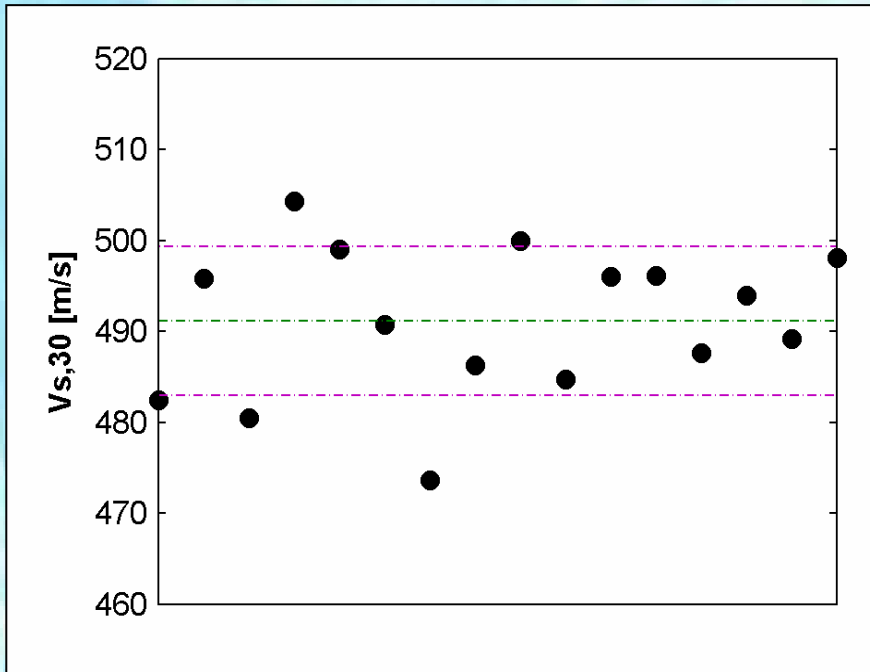


(Foti et al., 2008)

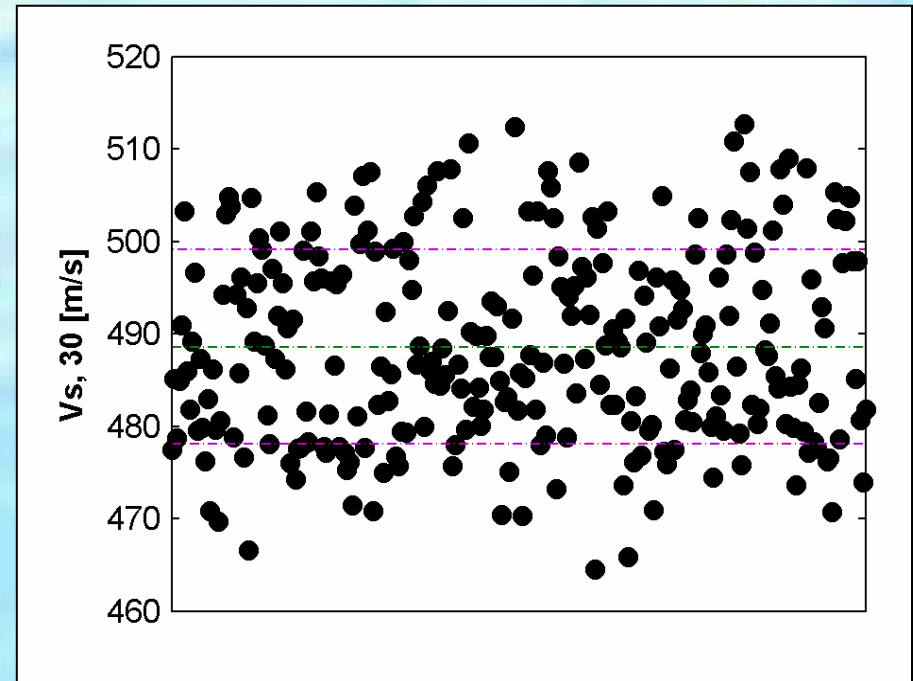
# $V_{S,30}$ - Uncertainty

## La Salle, Site E

A + P data



Passive data



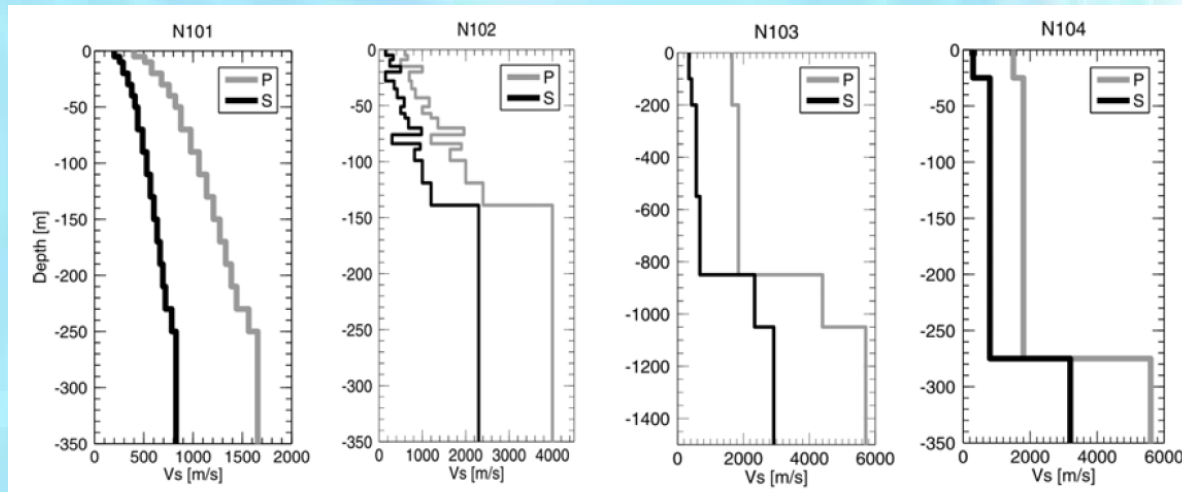
Foti S., Boiero D., Comina C., Socco L.V. (2008) "Consequences of solution non-uniqueness in surface wave tests for seismic response studies", Proceeding of Geotechnical Earthquake Engineering and Soil Dynamics IV, Sacramento (USA), GSP, ASCE

# Remarks

- Consistency of active and passive data
- Relevance of the frequency band of available information
- Consequences of equivalence
- $V_{S,30}$  estimate is robust

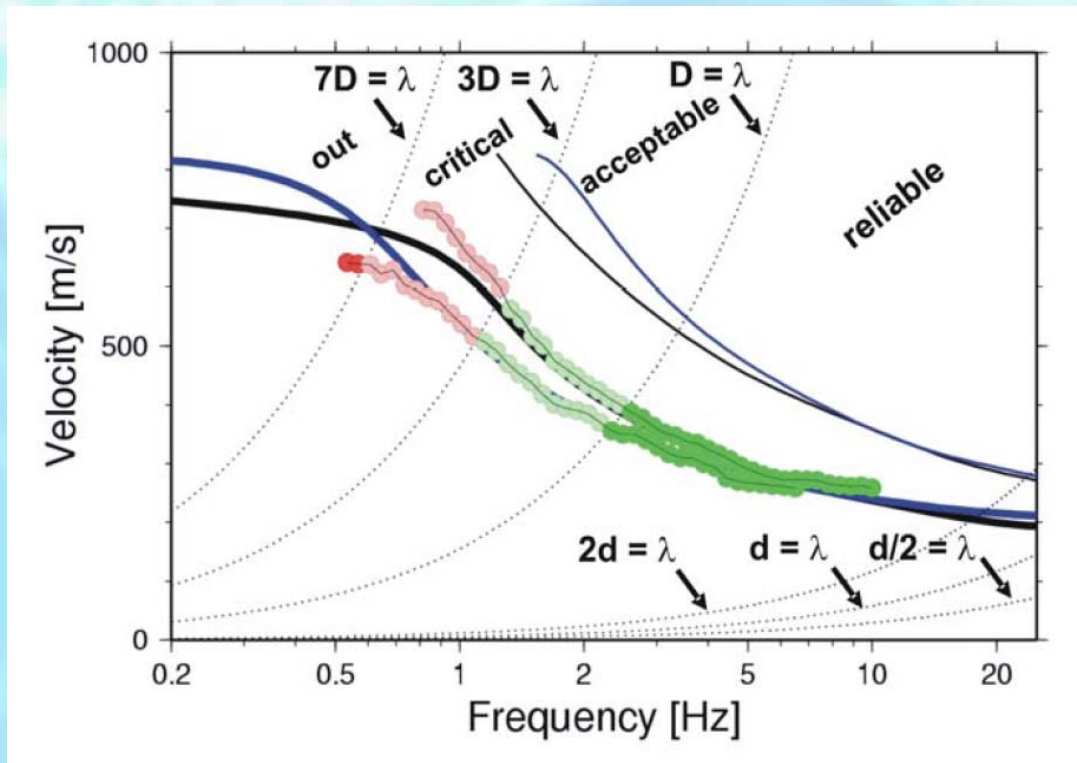
# Blind test EGS 2006 (Cornou et al., 2006)

- 4 synthetic and 4 real dataset
- 19 participants
- For synthetics mix of randomly distributed (more favorable for SPAC) and spatially localized sources (may favor FK-based techniques).
- Maybe “too blind”
- Some unrealistic targets for SW testing



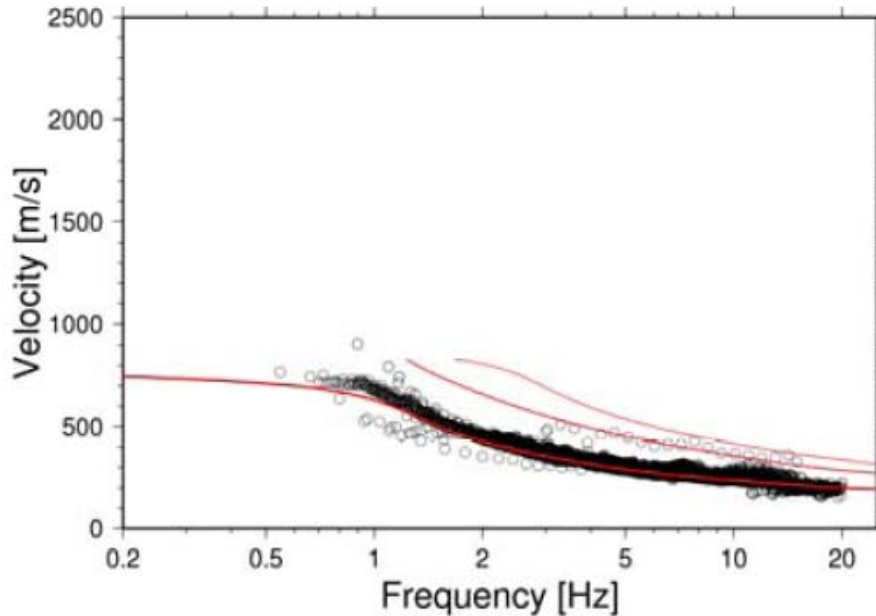
# Reliable frequency range

“In most cases, the groups chose to interpret analysis results in a larger frequency band than what is recommended in literature”.

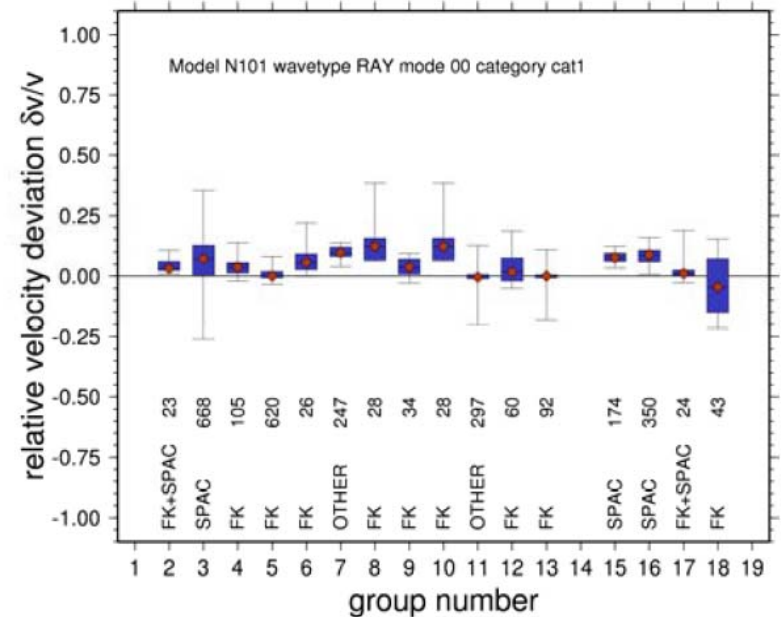


Array dimension is a critical aspect

# Synthetic N101



a) N101 – Rayleigh – proportional to velocity



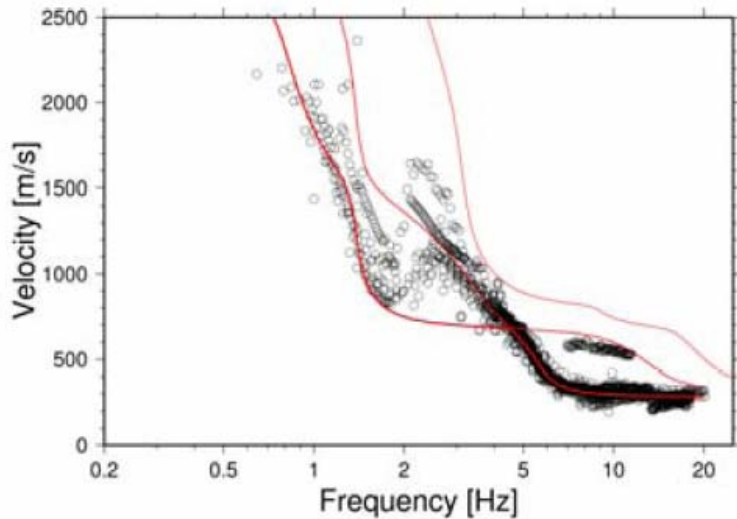
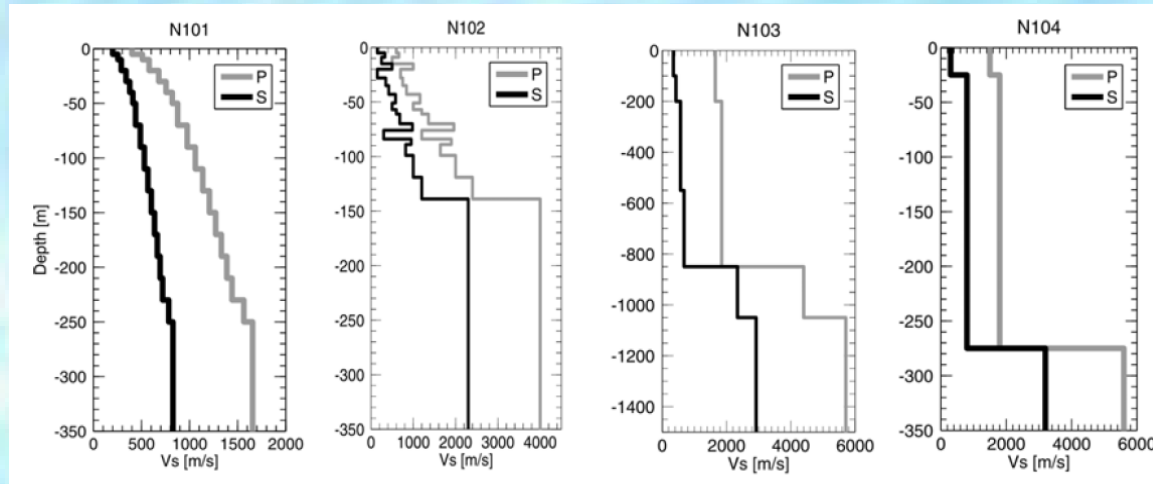
a) N101 – relative velocity deviation – reliable band only

(Cournou, 2006)

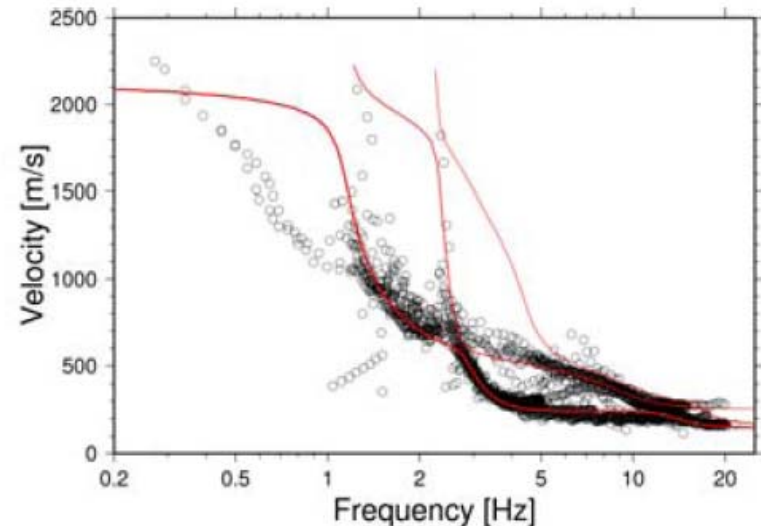
**SPAC vs FK**  
**No clear advantage**



# Relevance of higher modes and correct mode identification

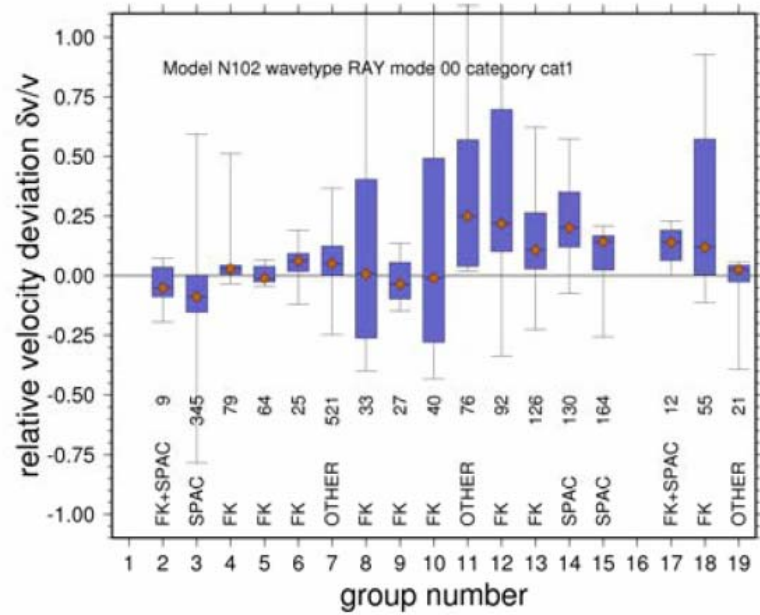


N104 – Rayleigh – velocity proportional

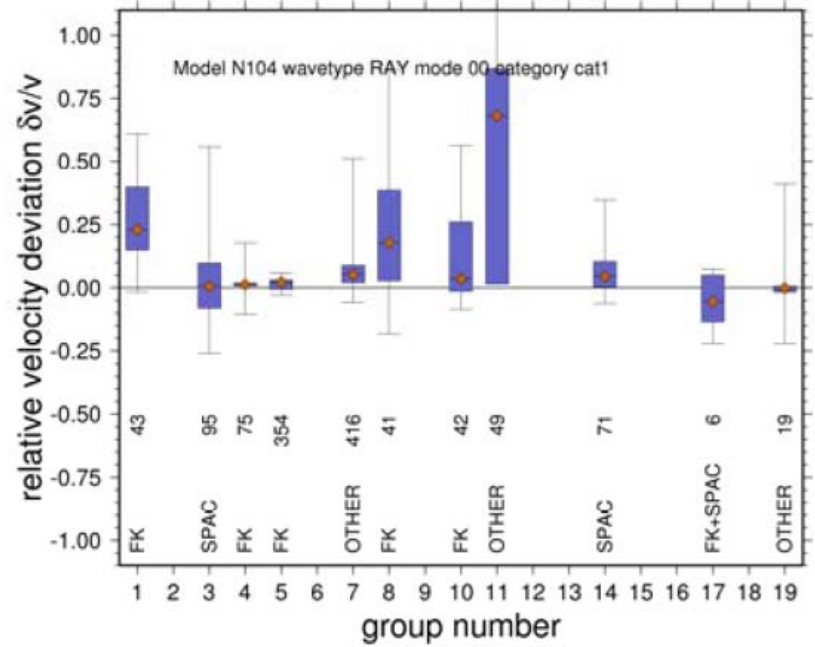


N102 – Rayleigh – velocity proportional



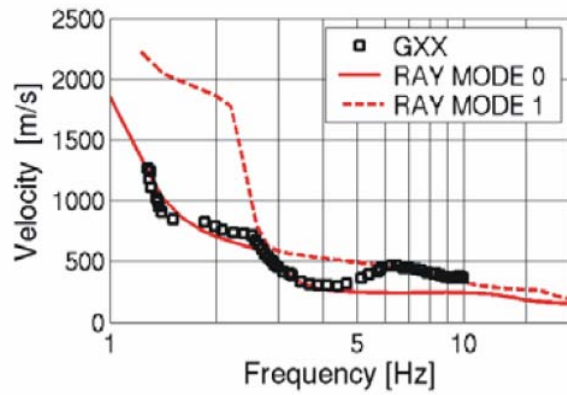


a) N102 – relative velocity deviation – reliable band only.

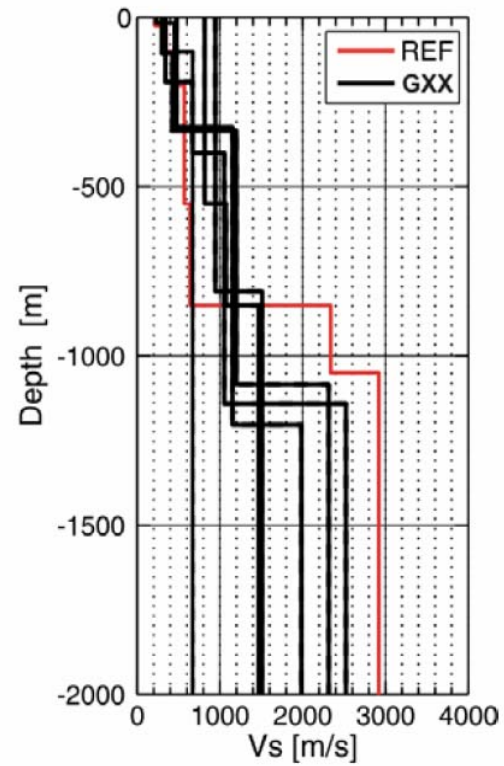
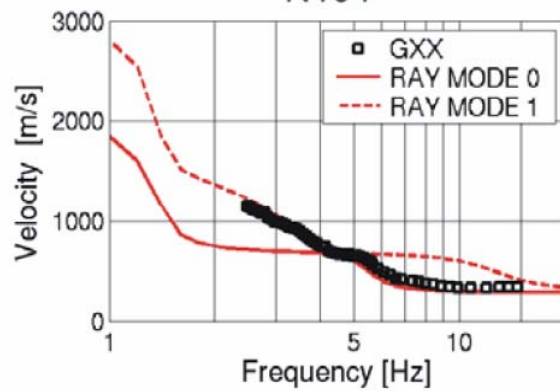


a) N104 – relative velocity deviation – reliable band only.

### N102

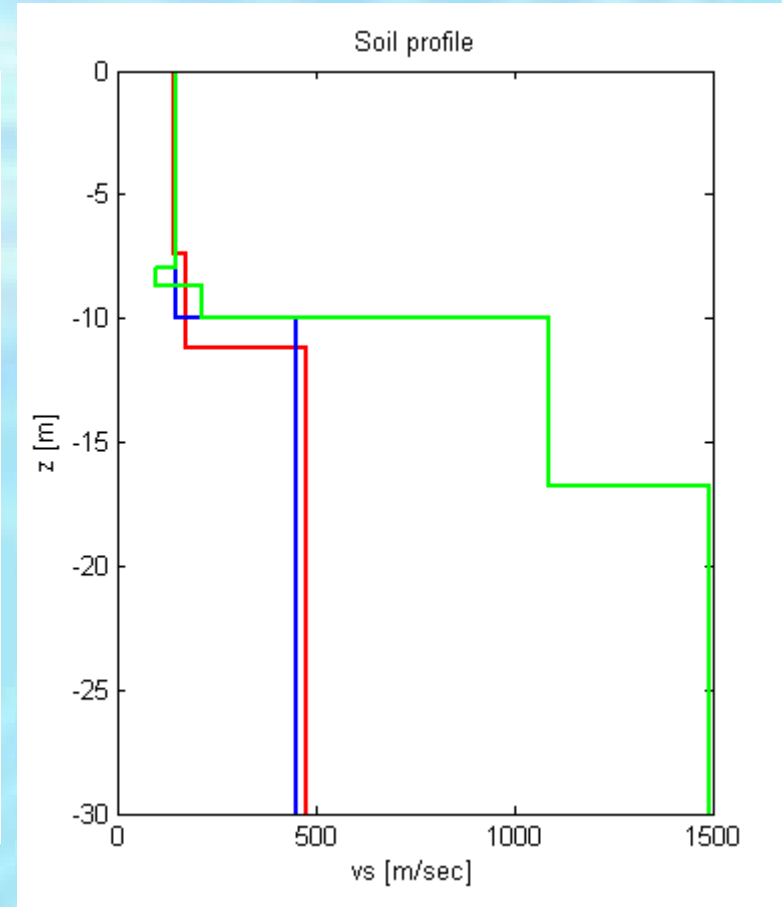
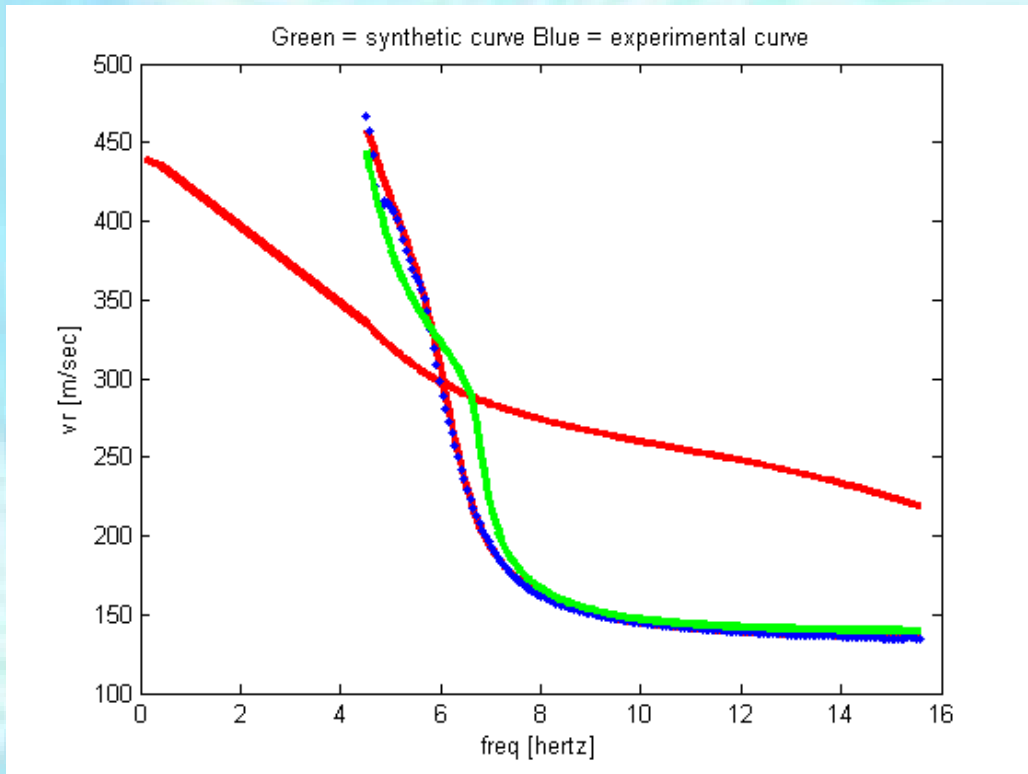


### N104



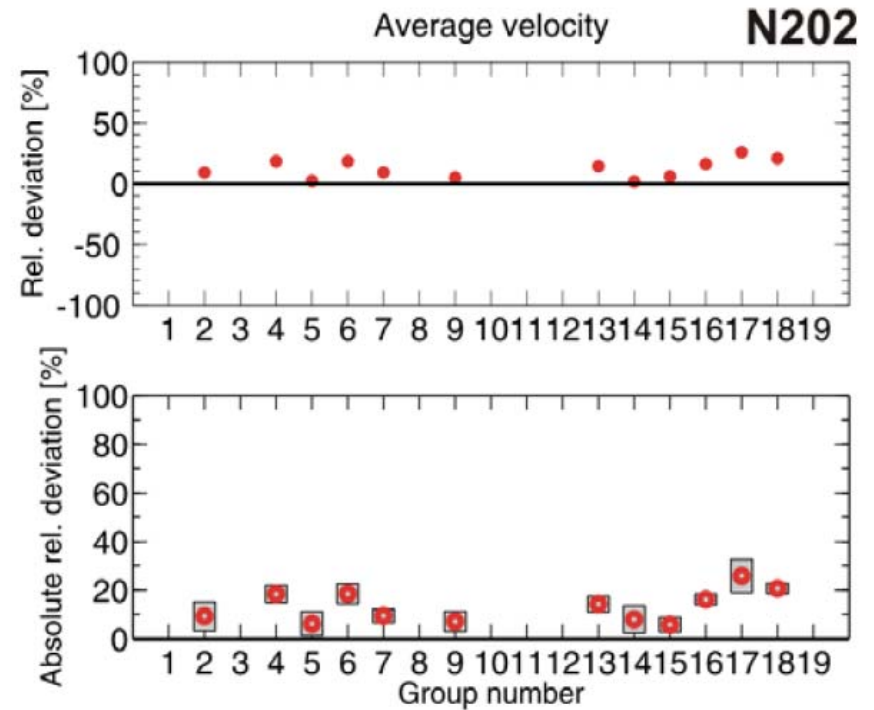
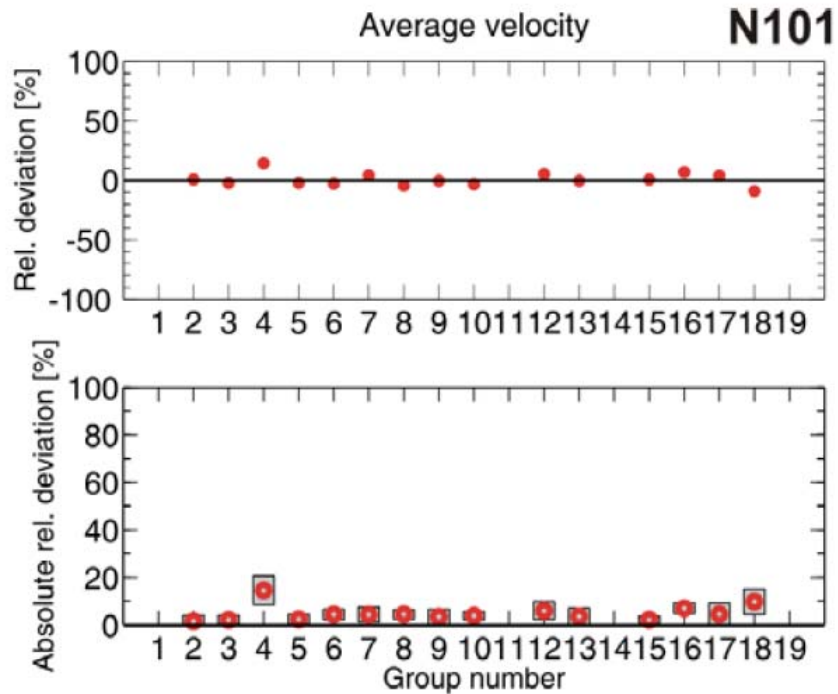
# Relevance of higher modes

Inversion with an innovative method based on  $L_1$  norm of the Haskell-Thomson matrix determinant (it doesn't require the identification of mode number)

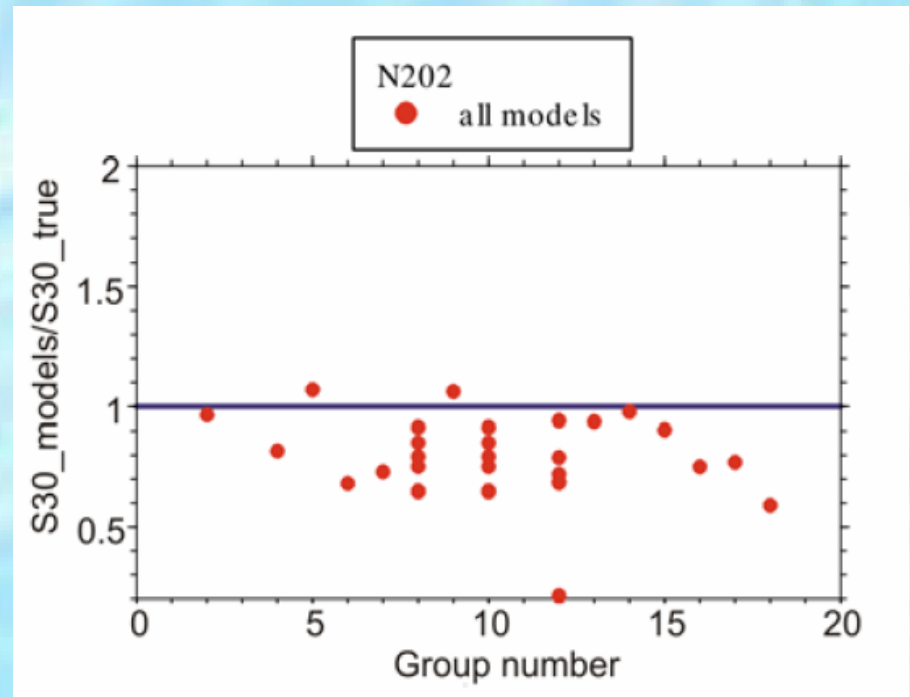
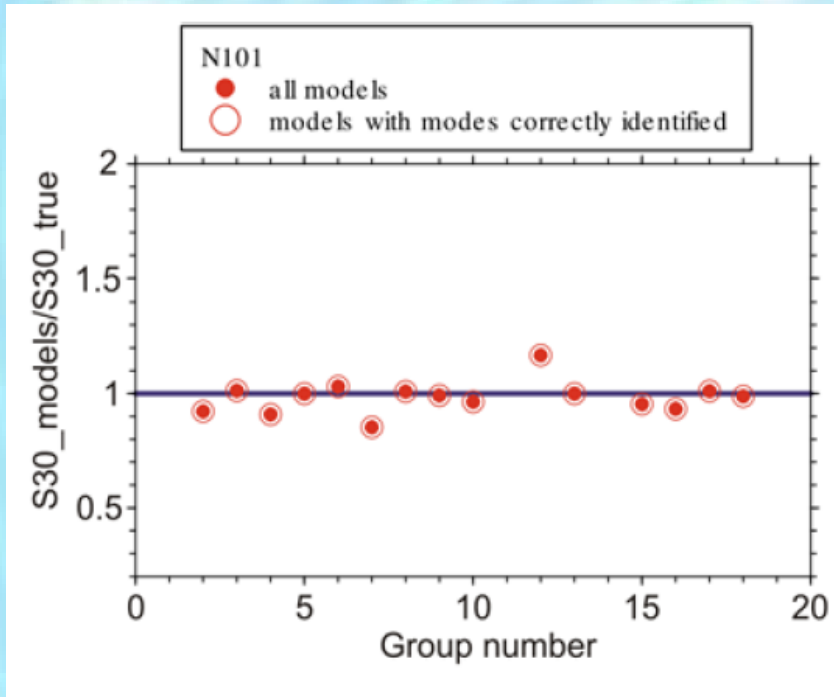


Maraschini M., Ernst F., Boiero D., Foti S., Socco V. (2008) "Innovative multimodal inversion of surface wave data", 70th EAGE Conf., Rome, 9-12 June 2008, CD-Rom

# Average velocities



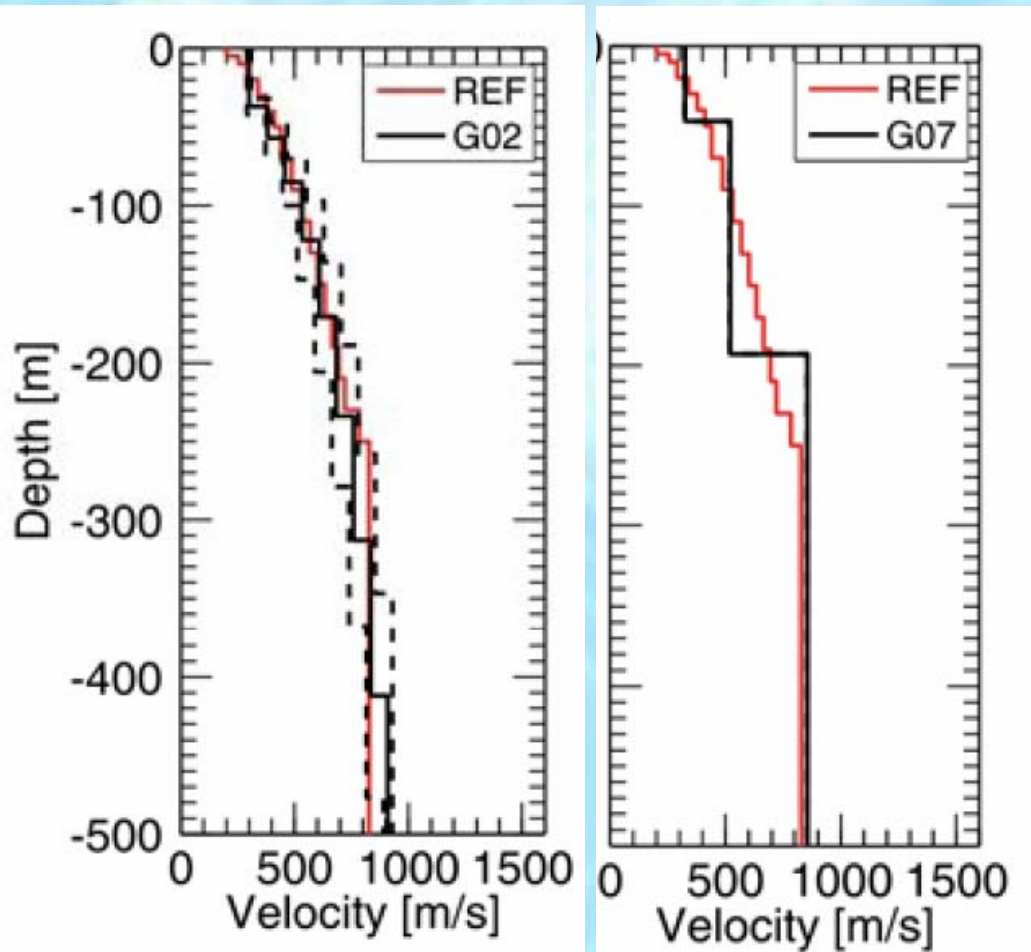
(Cournou, 2006)



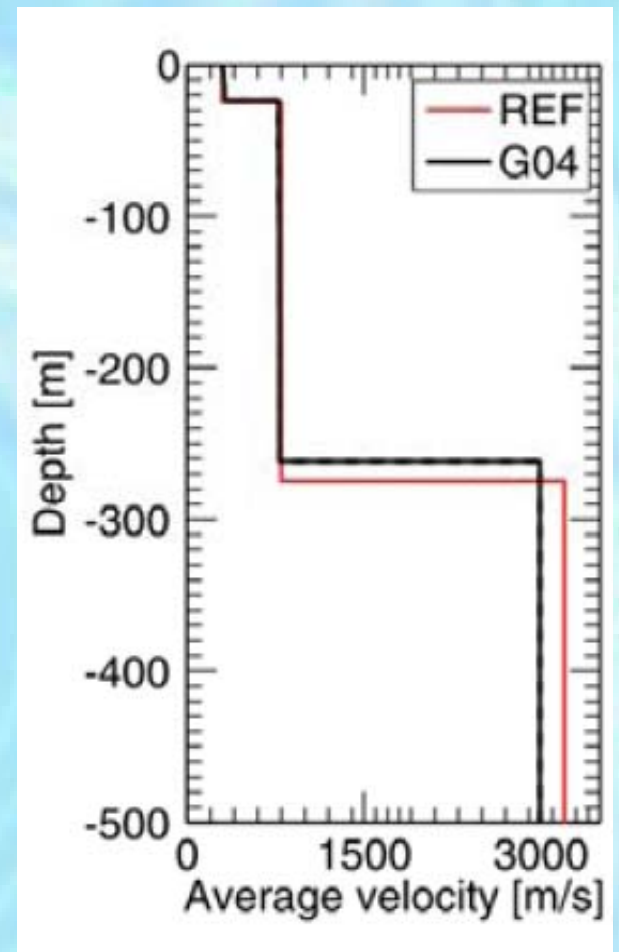
(Cournou, 2006)

# Importance of geological info

Synthetic Dataset N101



Synthetic Dataset N104





# Remarks

- Processing techniques lead to similar results
- Passive measurements allow deep characterization
- Active data improve resolution and reliability
- Robust estimate of average velocities (e.g.  $V_{S,30}$ )
- Due care in using ReMi (additional active data?)
- Inversion with higher modes if necessary
- Inverse methods  $\rightarrow$  a-priori info welcome
- Common basic rules to check results
  - Wavelengths vs array length or size
  - Depths vs available wavelengths
    - Maximum depth ( $z_{\max} \approx 1/2 - 1/3 \lambda_{\max}$ )
    - Resolution for shallow layers ( $Thk_1 > 1/2 - 1/3 \lambda_{\min}$ )

# Objectives in S4 – Task 3

- $V_S$  profile
- Techniques for Surface Wave analysis?
- Need for more tests in well characterised sites? Tests in a common site ?
- Common exercises on same experimental dataset ?
- Test few “complex” sites or many “simple” sites?
- Targets? ( $V_{S,30}$ ;  $V_S$  profile to bedrock; ...)
- Choice of sites: logistics, accessibility, space for testing, geological info, ...
- Archive of raw and processed data?